

9-02-04

IFW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
**FLO5360.01A1**In Re Application Of: **GAD KEREN, et al.**Serial No.  
**10/613,654**Filing Date  
**07/03/2003**Examiner  
**Mark K. Han**Group Art Unit  
**3763**Title: **APPARATUS AND METHODS FOR TREATING CONGESTIVE HEART DISEASE**Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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Serial No.

10/613,654

Filing Date

07/03/2003

Examiner

Mark K. Han

Group Art Unit

3763

**APPARATUS AND METHODS FOR TREATING CONGESTIVE HEART DISEASE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: 8/31/04

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I hereby certify that the foregoing:

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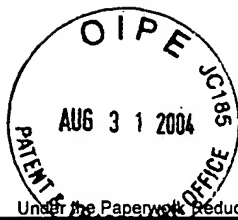
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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/613,654
Filing Date	07/03/2003
First Named Inventor	Gad Keren
Art Unit	3763
Examiner Name	Mark K. Han
Attorney Docket Number	FLO5360.01A1

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,909,252	03-20-1990	Goldberger	
		US- 5,167,628	12-01-1992	Boyles	
		US- 5,226,888	07-13-1993	Arney	
		US- 5,257,974	11-02-1993	Cox	
		US- 5,421,826	06-06-1995	Crocker et al.	
		US- 5,476,453	12-19-1995	Mehta	
		US- 5,599,306	02-04-1997	Klein et al.	
		US- 5,713,860	02-03-1998	Kaplan et al.	
		US- 5,720,735	02-24-1998	Dorros	
		US- 5,766,151	06-16-1998	Valley et al.	
		US- 5,807,895	09-15-1998	Stratton et al.	
		US- 5,968,013	10-19-1999	Smith et al.	
		US- 5,928,132	07-27-1999	Leschinsky	
		US- 6,068,629	05-30-2000	Haissaguerre et al.	
		US- 6,287,608	09-11-2001	Levin et al.	
		US- 6,733,474	05-11-2004	Kusleika	
		US- 2004/0064089	04-01-2004	Kesten et al.	
		US- 2002/0169413	11-14-2002	Keren et al.	
		US-			

**FOREIGN PATENT DOCUMENTS**

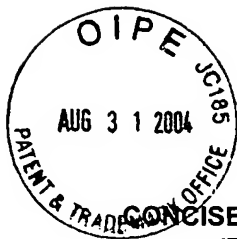
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 97/11737	04-03-1997	Erbel		
		GB 2 239 675 A	07-10-1991	Shiu		

Examiner  
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Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CONCISE EXPLANATION OF NON-ENGLISH LANGUAGE LISTED INFORMATION  
ITEMS IN ATTACHED INFORMATION DISCLOSURE STATEMENT**

NOTE: "A concise explanation of the relevance, as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the information of each patent, publication, or other information listed that is not in the English language **shall** be included in any information disclosure statement filed under § 1.97. "The concise explanation may be either separate from the specification or incorporated therein." 37 CFR 1.98(a)(3).

NOTE: "[T]he explanation required is limited to the relevance as understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information at the time the information is submitted to the Office." Notice of January 9, 1992, 1136 O.G. 13-25, at 14.

NOTE: "Where the information listed is not in the English language, but was cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by submitting an English language version of the search report." Notice of January 9, 1992, 1136 O.G. 13-25, at 14.

NOTE: "The concise explanation requirement for non-English language information may be met by submission of an English language version of the search report indicating the degree of relevance found by the foreign office." Notice of January 9, 1992, 1136 O.G. 13-25, at 20.

WARNING: "The requirement in §1.98(a)(3) for a concise explanation of non-English information **would not** be satisfied by a statement that a reference was cited in the prosecution of a parent application." Notice of January 9, 1992, 1136 O.G. 13-25, at 20 (emphasis added).

**WO 97/11737 SHOWS A SYSTEM FOR ACTIVELY SUPPORTING THE FLOW OF BODY FLUIDS.  
SEE ENGLISH LANGUAGE ABSTRACT.**

Dated:

8/27/04

  
SIGNATURE OF ATTORNEY

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## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the foregoing:

### Information Disclosure Statement by Applicant

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Cited References (02)

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